# ORIGINAL ARTICLE

# Topographic controls on vegetation index in a hilly landscape: a case study in the Jiaodong Peninsula, eastern China

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**Abstract** This study examined topographic influence on spatial and temporal variability in the normalized difference vegetation index (NDVI) derived from the Satellite Pour l'Observation de la Terre-Vegetation at the regional and landscape scales in the Jiaodong Peninsula. The generalized additive models were used to quantify the spatial variation of NDVI attributable to local terrain and topographically related variables including altitude, exposure to incoming solar radiation, topographic wetness index, distance to the nearest stream and distance from the coast. NDVI distribution shows significant dependence on topography. The variables explained 38.3 % of variance in NDVI at the peninsula, and 30-45.3 % of variance in NDVI at the woodland, cropland, and grassland landscapes. At the Jiaodong Peninsula scale, NDVI is influenced primarily by distance from the coast. However, topographic wetness index has the most explanatory power for NDVI at the woodland, cropland, and grassland landscapes. Through a statistical nonparametric correlation analysis (Spearman's r), the study indicates that spatial distribution of NDVI changes during the period 1998-2009 and future change trend of persistence determined by Hurst exponent is closely associated with topography and topography-based attribution. These results highlight the importance of topographic changes at landscape and regional scales as an important control factor on NDVI patterns.

**Keywords** Topography · Spatial variation · Temporal variation · NDVI

#### Introduction

Vegetation cover is thought to have considerable impacts on all of the processes in terms of land and atmosphere. It affects local and regional climate (e.g., Arora 2002; Douville et al. 2000), and hydrologic balance of the land surface (e.g., Eugster et al. 2000), stores carbon stocks (Cernusca et al. 1998), reduces erosion, and partially or totally controls some natural hazards such as slides, rockfalls, debris flow and floods (e.g., Berger and Rey 2004; Brang et al. 2001). Therefore, it is of great importance to analyze spatial and temporal patterns of vegetation for natural environmental threat evaluation. The knowledge of the spatial and temporal variability in vegetation cover is also useful for modeling biogeochemical cycles and climate feedbacks.

Vegetation patterns are inherently influenced by the environmental heterogeneity. In particular, topographic heterogeneity imposes environmental constraints on vegetation development by producing complex substrates with variable structure, hydrology, and chemistry (Bledsoe and Shear 2000). Considerable studies have attempted to relate topography to vegetation type and composition (e.g., Franklin et al. 2000; Pfeffer et al. 2003; Abbate et al. 2006; Reed et al. 2009; White and Hood 2004), the abundance

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and distribution of species (e.g., Morzaria-Luna et al. 2004; Meentemeyer et al. 2001), vegetation diversity (Tilman 1982; Keddy 1990; Poulos and Camp 2010) and vegetation greenness (White et al. 2005; Deng et al. 2007), even across different spatial scales. However, there are few studies on what extent topographic attributions control normalized difference vegetation index (NDVI) and the potential dependence of vegetation fluctuation over time on topography.

With much improvement in resolution, dependability and accessibility of digital elevation models (DEM), digital terrain analysis techniques become popular to improve the efficiency of vegetation pattern estimate and modeling. For such efforts, it is crucial to quantify topography impact on vegetation and identify which topographic environments support the highest vegetation cover. Additionally, such quantitative information is beneficial for landscape management improvement and guidance for potential re-vegetation efforts.

NDVI provides information about vegetation communities (Reed et al. 1994), correlates closely with green leaf biomass and green leaf area index (Boone et al. 2000; Chen and Brutsaert 1998), and can be considered a surrogate for vegetation production due to its robust relationship with vegetation biomass (Svoray and Karnieli 2011). In recent decades, despite many other vegetation indices, NDVI is still gaining more and more attention and confidence for vegetation pattern evaluation (e.g., Ceccato et al. 2001; Chuvieco et al. 2002; Serrano et al. 2000), and also continues to play an important role in the future studies of ecosystem dynamics. In particular, NDVI data derived by satellite such as the advanced very high resolution radiometer (NOAA-AVHRR), the moderate resolution imaging spectroradiometer (TERRA-MODIS) and SPOT-VGT have been widely used to evaluate vegetation distribution and dominant species, classify land cover, predict primary production and detect plant stress at different spatial scales.

The objective of this study is to quantify the relative contributions of each topographic and topographically related attribution to spatial patterns of NDVI in the Jiaodong Peninsula using newly available statistical techniques, generalized additive models (GAMs), and to better understand the impact of the major forces of topography on the temporal variation of NDVI.

# Study area

Jiaodong Peninsula is located within 35°35′N and 38°23′N latitude, and 119°30′E and 122°42′E longitude, neighboring the Yellow Sea and Bohai Sea, with a total extension of 30,085 km² (Fig. 1). The peninsula has a rocky coastline with cliffs, bays, and islands. The total length of coastal lines is 2,528 km. Elevations range from sea level at the

coast to 1,133 m on Laoshan Peak. The area of mountainous regions is 18,622 km<sup>2</sup>, accounting for 62 % of the total. Most of rivers having their headwaters in the central Jiaodong Peninsula belong to monsoon rain originating from mountain torrents. These rivers run south and north, respectively, until they flow into the sea.

Jiaodong Peninsula is characterized by a warm temperate, wet monsoon climate with wet hot summers and dry cold winters. Annual precipitation ranges from 650 to 850 mm, with a maximum in summer (June–August). Southern peninsula receives 800 mm of annual precipitation, and annual precipitation is about 600 mm in the northwestern parts of the peninsula. Annual mean maximum temperature is about 25 °C, and annual mean minimum temperature between -3 and -1 °C.

According to 1-km spatial resolution land use/land cover data (2005) for Jiaodong Peninsula (Fig. 1c), which is available at http://www.resdc.cn/first.asp, the peninsula consists of 56.9 % croplands, 14.8 % grasslands, 11.6 % costal protection forests and small forests, and 16.8 % the rest. The remaining regions are covered by villages (6.5 %), cities (4.3 %), roads and channels (2.6 %), small lakes and ponds (3.1 %) and unused land (0.3 %).

#### Data and methods

Data

The NDVI data used in this study were S10 (10-day synthesis) products of SPOT-VGT (VGT-S10) from April 1998 to December 2009. VGT-S10 is 1-km spatial resolution maximum-value composite products deriving from VEGETATION instrument onboard the SPOT 4 and SPOT 5 satellite platforms. These products provide data in the four spectral bands. The spectral bands are blue (0.43–0.47  $\mu$ m), red (0.61–0.68  $\mu$ m), near infrared (NIR 0.78–0.89  $\mu$ m), and shortwave infrared (SWIR 1.58–1.75  $\mu$ m). SPOT-VGT data were pre-processed including atmospheric correction for ozone, aerosols and water vapor, the geometrical and radiometrical correction, and masking procedures to improve data quality.

Altitude (ALT), slope (S), aspect (AS), annual mean incident solar radiation (ISR), topographic wetness index (TWI), distance to the nearest coast (DC), and distance to the nearest stream (DS) were used as independent predictors to explain variation in NDVI. These variables were selected because they are widely regarded to exert a stable control on vegetation (e.g., Dargie 1984; Franklin 1995; White et al. 2005; Deng et al. 2007). In addition, population density (PD) and distance to the urban site (DUS) were also used. The 90-m cell size Shuttle Radar Topography Mission (SRTM) from Consultative Group for



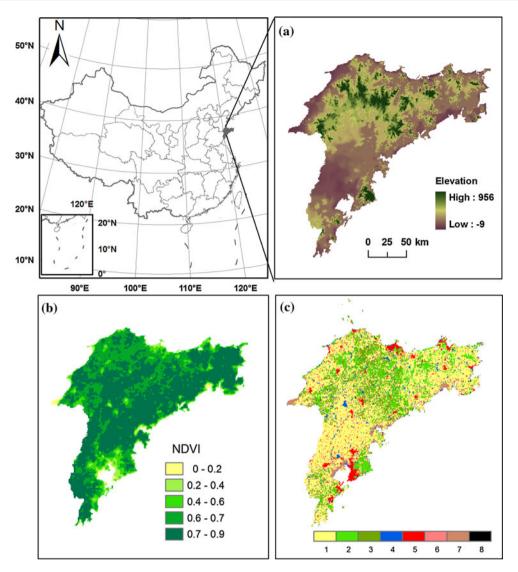


Fig. 1 Study area showing a DEM, b spatial distribution of annual NDVI, c land use types: 1 croplands, 2 forests, 3 grassland, 4 water body, 5 cities, 6 villages, 7 roads, channels, and industrial and mining lands, 8 unused land

International Agriculture Research Consortium for Spatial Information (CGIAR-CSI, available at <a href="http://srtm.csi.cgiar.org/">http://srtm.csi.cgiar.org/</a>) was used to produce ALT, S, and AS using ArcGIS 9.3. For reasons of spatial coherence, SRTM DEM were resampled to 1-km spatial resolution and co-registered to the NDVI data using a nearest neighbor resampling algorithm. ISR for each cell of SRTM in the study area was estimated using MiraMon GIS (Pons 1998). The program takes into account of site latitude, ALT, orientation, shading effects, daily shifts in solar angle (hourly) and solar incidence angle for each cell, Earth—Sun distance (monthly) and the atmospheric extinction effect. TWI developed by Beven and Kirkby (1979) was used to characterize the influence of topographic variation on the spatial variation of soil water content. It is calculated as:

$$TWI = \ln (A_s / \tan \beta)$$

where  $A_s$  is the local upslope area draining through a certain point per unit contour length and  $\beta$  is the local slope.  $A_s$  was calculated in ArcInfo using slope and aspect to estimate how many upstream pixels drained into a candidate pixel. DC was estimated using the coastline layer of the digital line graphs (DLGs) available at the 1:250,000 scale generated by National Geomatics Center of China in 2002 for Jiaodong Peninsula. The DS variable was calculated for perennial streams and lakes based on the hydrography layer of DLGs. The DUS was generated using the urban location layer of DLGs. Population density gridded data at 1-km resolution come from China sharing infrastructure of earth system science.



#### Methods

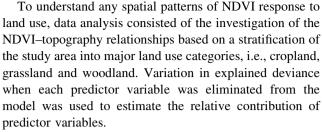
Inter-annual changes of NDVI dynamics from 1998 to 2009 were analyzed by a linear regression. The slope of the regression was used to quantify the change of NDVI over time. Future persistence of the change trend in NDVI over the study period was determined by Hurst exponent (H) developed by Hurst (1951), which provides a robust measurement of long memory in time series. The values of H ranging from 0 to 1 can be classified into three categories: 0 < H < 0.5, H = 0.5 and 0.5 < H < 1. If H is less than 0.5, the time series of NDVI is an anti-persistent series, meaning future anti-trend variation of the time series. If H is equal to 0.5, the time series of NDVI is random without consistency. If H is greater than 0.5, the time series of NDVI is a persistent series, meaning the future same change trend of the time series. Nonparametric correlation analysis was employed to examine the effect of topography on temporal variation of NDVI dynamics at the peninsula.

GAMs (e.g., Hastie and Tibshirani 1987; Guisan and Zimmermann 2000) provide a flexible nonparametric means that can deal with non-normal data and non-linear relationship between the response and the set of predictor variables. GAMs are the extensions of linear regression models that use the data to automatically estimate the appropriate functional relationship for each predictor (Guisan et al. 2002). In a GAM, a link function is utilized to establish a relationship between the mean of the response variable and a smooth function of the predictor variable(s). A GAM can be expressed as follows:

$$g(E(y_i)) = \beta_0 + s_1(x_{1i}) + s_2(x_{2i}) + \dots + s_p(x_{pi})$$

where  $s_p$  is smooth function, and  $x_p$  is a predictor variable, and g is a link function that associates the linear predictor with the expected value of the response variable.

Fig. 2 Spatial distribution of NDVI change trend and Hurst exponent at the Jiaodong Peninsula from 1998 to 2009



The data were split randomly into two groups, where 70 % of the data were used to calibrate the model, while the left out 30 % were used to test the fitted model (Guisan and Zimmermann 2000). The mean absolute error (MAE) and the root mean square error (rRMSE) relative to the absolute observed value were used to evaluate the fitted GAM performance.

## Results

Temporal variation of NDVI dynamics

The trends determined by a linear regression to all the pixels indicate a high spatial heterogeneity in annual NDVI variation during the period 1998–2008 (Fig. 2). About 9.4 % of Jiaodong Peninsula experiences moderate and significant decreasing trends ( $<0.005 \text{ a}^{-1}$ ) of NDVI. They mainly occur in the coast areas, especially the cities probably due to the intensifying urbanization since the late 1990s. NDVI pixels with upward trends cover more than 84 % of the peninsula. Furthermore, about 34.6 % of the pixels have a distinct increasing trend ( $<0.01 \text{ a}^{-1}$ ), which occur in the central peninsula where crop is the dominant vegetation type.

Hurst exponent of annual NDVI time series shows distinct increase from the coast to the inland Jiaodong

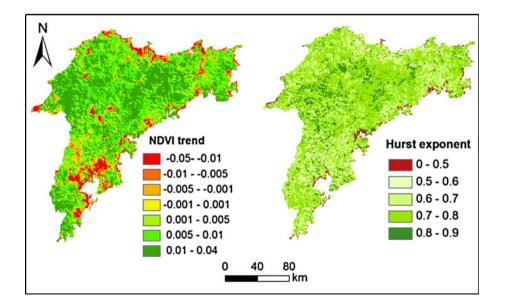




Table 1 The correlation coefficient between topographic and topography-based variables with Hurst exponent and linear trend slope of NDVI change during 1998–2009, respectively

	Jiaodong Peninsula		Corp landscape		Meadow landscape		Forest landscape	
	Hurst exponent	Slope	Hurst exponent	Slope	Hurst exponent	Slope	Hurst exponent	Slope
Altitude	0.278	0.376	0.224	0.311	0.239	0.211	0.266	0.295
Slope	0.127	0.183	0.073	0.122	0.084	0.058	0.075	0.047
Topographic wetness index	0.022	0.004	0.007	0.011	0.056	-0.054	0.059	-0.026
Distance to the nearest stream	-0.018	0.055	0.016	0.079	-0.046	0.008	-0.042	0.037
Distance to the coast	0.251	0.255	0.202	0.153	0.313	0.291	0.287	0.28

All the coefficients but the bold values are at a significance level of p < 0.001

Peninsula (Fig. 2). Most of the peninsula has high persistence of NDVI trends in the future with H greater than 0.5. Moreover, the central peninsula with the dominant vegetation of crop experiences a higher persistence (>0.8) of NDVI trends after the study period. H with the value less than 0.5 covering only 2 % of the peninsula mainly occurs in the coastal regions with the significant decrease trend of NDVI. This indicates anti-trends of future NDVI variation.

Topographic influence on temporal variation of NDVI dynamics

As Table 1 shown, there is low (r < 0.38) but very significant (p < 0.001) correlation between nearly all tested topographic and topographically related variables and magnitude and persistence of NDVI change trends in the peninsula, cropland, grassland and woodland landscapes, respectively. In spite of the very limited explanatory power (<15 %) for the NDVI variability trend due to the other factors affecting vegetation variation such as climate, vegetation type and soil, the temporal variability explained by the topography is persistent and significant.

## Topographic controls on spatial patterns of NDVI

GAM fits based on  $R^2$  values were higher when the two human impact terms were included (Table 2). An overall assessment of the regression statistics presented in Table 2 suggests that the performance of all models is less successful with  $R^2$  values for the training data ranging from 0.3 to 0.45. Furthermore, the accuracy of models based on test data is lower than that of models based on training data. The magnitude of the differences between the observation and prediction by GAMs ranged from 3.5 to 5.4 %. The RSMEs were between 6.9 and 12.5 %.

Topographical and topographically related variables clearly affect spatial distribution of NDVI at the regional and landscape scales. The models fitted using these variables explained the variation of NDVI in the total study area, cropland, grassland, and woodland landscapes

**Table 2** Explanatory variance (only using training data) and predictive accuracy (using independent test data) of the fitted models for NDVI in the total study area, cropland, grassland and woodland

	Explanatory variance	Predictive accuracy				
	$R^2 \times 100$	$R^2 \times 100$	MAE (%)	rRSME (%)		
All the peninsula	38.3	38.6	5.54	12.22		
Croplands	30.3	27.5	3.7	7.25		
Meadow	35	27.9	3.39	7.13		
Forest	45.4	39.3	3.51	6.9		

MAE Mean absolute error, rRMSE relative root mean square error

(Table 3). For the total study area, 38.3 % of variation in NDVI patterns was explained. In the cropland and grassland landscapes, deviance explained by topographic variables remained <35 %. The variables captured 45.3 % of variation in woodland NDVI. When adding two anthropic factors (PD and DUS) to the models, explained deviance increases by >5 % especially for the total study area (10.4 % increase), suggesting human management and disturbance such as urbanization, agricultural activity, and grass/shrub planting and cutting may have badly affected the spatial variation of NDVI.

The final set of predictor variables in the fitted GAMs and their importance differs considerably. Although a significant portion of the variance in the data was explained by ALT, ISR and DS, their importance is low (Table 3). These simple topographical and topographically related variables integrate a variety of environmental controls on NDVI such as rainfall gradients, water flow, and radiant energy. DC explained the highest amount of spatial variation of NDVI in the total study area. TWI consistently contributed the most explanatory power to the spatial variation in NDVI in woodland, cropland, and grassland landscapes. Topographically mediated redistribution of rainfall is responsible for moisture limitations affecting plant greenness.



8.5

2.9

0.7

Forest

PD and DUS All variables ALT TWI S AS ISR DS DC PD DUS All the peninsula 48.7 38.3 1.5 2.2 0.9 0.7 10.1 8.5 0.7 Croplands 36.9 30.6 2.3 4.4 0.4 3.1 0.9 11.4 3.5 2 Meadow 2.1 2.3 40 35 4.5 0.6 0.9 0.7 6.1 2.3

8.4

0.9

Table 3 Summary of GAM with significant predictor variables (p < 0.001), change in explained deviance when dropping predictor variables from the fitted model

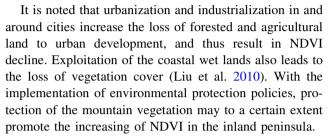
Figures 3 and 4 show the response curves of each topographic and topographically related variables to NDVI in the total area, cropland, grassland and woodland landscapes. NDVI in the different land use units varied significantly according to local topography and topographically mediated conditions. The relationships between NDVI and topographically related variables were not consistent in the different landscapes. However, it was common that NDVI in the coast area increased with distance from the coast. In addition to distance from the coast, annual mean ISR, TWI and DS were found to be suitable for evaluating NDVI distribution.

45.4

49.3

## Discussion

Although the variability in climate factors such as temperature and precipitation was an important driver of vegetation dynamics, topography was gradually considered a control factor on vegetation dynamics (Fu et al. 2009). White et al. (2005) explored the control of topographic variables such as elevation, slope, aspect, and proximity to moisture convergence zones on the interannual variations of NDVI over America through a data mining technique and elevation and slope exhibit the predominant controls on the NDVI response to climate oscillations. Peng et al. (2012) showed that H of NDVI change over the Tibetan Plateau is closely associated with elevation. In Jiaodong Peninsula, woodland, cropland, and grassland landscapes, topography and topography-based attributes contribute more to the spatial patterns of temporal change in NDVI and H (Table 1). Among the topographical and topography-based variables, the correlation between ALT and DS with spatial patterns in slope of NDVI trends and H is stronger than the other attributes (Table 1). The distinct weakening trends of NDVI were mainly below 100 m of the peninsula. In contrast, NDVI in the inland with ALT of above 300 m shows significant increasing trend. The increasing trends in NDVI changes gradually become more prominent from the coast to the inland. It is interesting that some coast areas with weakening trends in NDVI have the values of H below 0.5, promising an anti-trend of future NDVI variation in these regions.

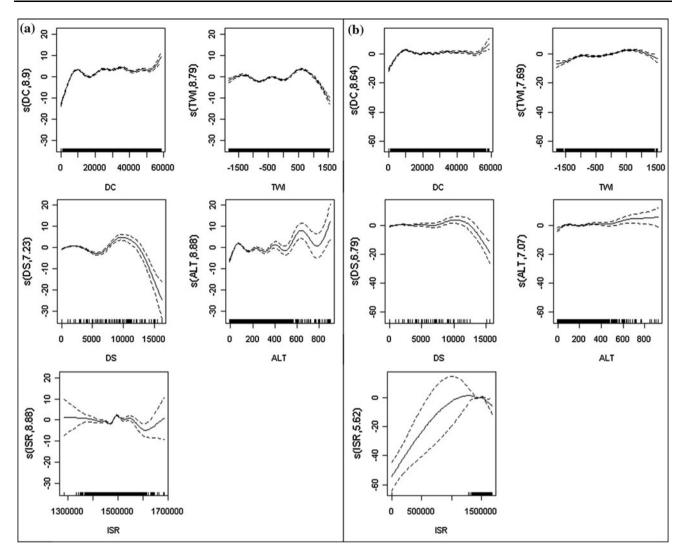


1.3

2.7

Previous studies have demonstrated that GAMs are very useful tools to predict and explain the distinct features of biodiversity such as species presence/absence, and species richness (e.g., Bio et al. 1998; Lehmann et al. 2002). In this study, GAMs were used to examine the effect of topography on spatial patterns of NDVI. The model has a non-linearity advantage for analyzing the response of the predictors (ALT, ISR, TWI, DC, and DS) to the response variable (spatial patterns of NDVI). Our results identified DC as a good predictor of mean NDVI distribution in the Jiaodong Peninsula and three major land use categories, i.e., cropland, grassland and woodland. Vegetation in the coastal areas is liable to very harsh environments such as deficiency in major nutrients, high salt spray and lack in water. In addition, the coastal regions of the peninsula are under threat, mainly from inappropriate firing, exploitation, building developments and recreational activities. These threats gradually decline with the increase in the distance from the coast. Probably due to these urbanization process and rapid growth of population in the coastal cities, vegetation green has a decrease trend in the regions in recent decades. A considerable portion of spatial variation of NDVI in the cropland, grassland, especially woodland landscape can be explained by TWI because the index describes the topographically constrained redistribution of precipitation and is regarded a surrogate for soil water content affecting vegetation. This agrees well with the previous studies emphasizing the significant relationship between the index and NDVI (e.g., Deng et al. 2007; Reed et al. 2009). At the peninsula, woodland often has relatively steep terrain which influences spatial distribution of saturation and run-off generation zones. Therefore, the relatively steep terrain in woodland may constrain the soil moisture for vegetation growth. In addition to DC and TWI, ISR, ALT and DS also contribute to NDVI





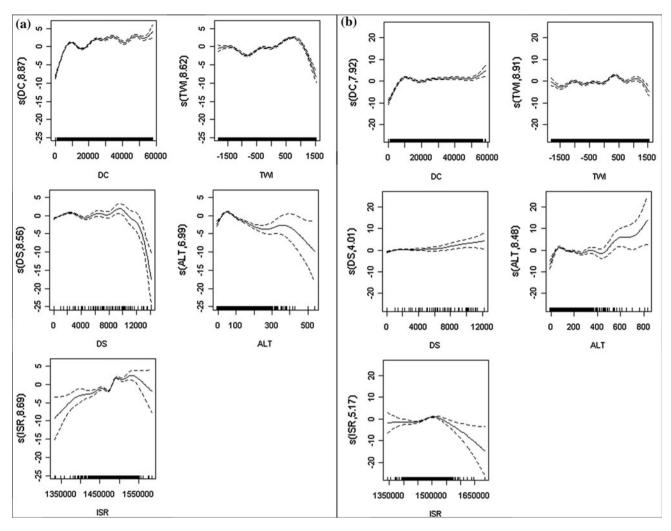
**Fig. 3** Response curves of NDVI in the total study area (**a**) and woodland (**b**) to smooth contributing terms: altitude (*ALT*), slope (*S*), aspect (*AS*), annual mean incident solar radiation (*ISR*), topographic

wetness index (TWI), distance from the coast (DC), and distance to the nearest stream (DS). Dotted lines show 95 % Bayesian confidence intervals

distribution. The variations in topographically induced incoming radiation can result in corresponding soil moisture variations (Grange and Schulze 1977). ALT and DS affect the distribution of resources and conditions necessary for plant growth, such as moisture availability or temperature (Pabst and Spies 1998). There are many studies on exploring the topography-vegetation relationship (e.g., Franklin et al. 2000; Pfeffer et al. 2003; Abbate et al. 2006; Reed et al. 2009; White and Hood 2004). Topographic attributes such as ISR, ALT, S, TWI, and slope aspects are significantly correlated with vegetation changes and the individual correlations may be weak at different regions. However, these studies focus on topographic effect on vegetation type and composition, and species distribution and diversity. Deng et al. (2007) evaluated the multi-scale correlation between topographic variables and NDVI. But few studies identified which topographic environments support the highest NDVI or vegetation at landscape and regional scales. In comparison to the previous studies (Franklin et al. 2000; Pfeffer et al. 2003; Abbate et al. 2006; Reed et al. 2009; White and Hood 2004), through a data mining approach, this study explored the relative importance of topographic and topographically related variables on NDVI and identified that ALT and DS were the major influences on NDVI variation in the Jiaodong Peninsula, and TWI was the most explanatory power to the spatial variation in NDVI in woodland, cropland, and grassland landscapes.

Although topographic variables explained spatial variations in NDVI at the regional and landscape scales well, other factors can still contribute to the NDVI patterns. The relatively flat grassland and cropland landscapes may modify the influence of topography on the water conditions which are not represented by a DEM (Band et al. 1993).





**Fig. 4** Response curves of NDVI in the cropland (a) and grassland (b) to smooth contributing terms: altitude (*ALT*), slope (*S*), aspect (*AS*), annual mean incident solar radiation (*ISR*), topographic wetness

index (TWI), distance from the coast (DC), and distance to the nearest stream (DS). Dotted lines show 95 % Bayesian confidence intervals

Vegetation growth is sensitive to differences in fertility (Pugnaire and Luque 2001; Elgersma and Dhillion 2002), soil acidity (Pärtel et al. 2007), soil depth (Zelený and Chytrý 2007) and electrolytic conductivity. Additionally, the dominant vegetation type relates strongly to soil moisture retention characteristics (Jager 1982). Thus, soil attributes not accounted for in the fitted models may also have contributed to the explanation of grassland and cropland NDVI. Human activities such as urbanization, grazing and cultivation could be important contributors to the spatial variation in NDVI. It is well known that intensive agricultural managements may result in a less complex landscape structure and drastically reduce species richness in the landscape (Solstad 2006). Moreover, human population densities accounted for a significant proportion (8.5 %) of NDVI variation in the total study area, probably because species richness closely relates to human PD

(Duncan and Young 2000; Luck et al. 2004; Pärtel et al. 2007).

In addition, the NDVI-topography relationship may vary or be interrupted with different spatial scales, seasonal variability, selections of observed properties (Deng et al. 2007) and historic disturbance events for instance fire (Reilly et al. 2006; Kokaly et al. 2007; Fox et al. 2008). Topography often tends to play a more important role in the NDVI patterns in coarser scales (Deng et al. 2007). However, this cannot be further confirmed by this study due to the relatively low resolution of NDVI and DEM. There are different relationships and even no relationship between topography and seasonal variations in NDVI. Also, importance of topographic attributes on NDVI may change across seasons. Historic disturbance events, especially fire, can have an impact on species composition and structure (Reilly et al. 2006; Kokaly et al. 2007; Fox et al.



2008) at micro-scales, and lead to variability in vegetation patterns at regional even global scales.

## **Conclusions**

Spatial patterns of NDVI over the Jiaodong Peninsula and three landscapes can be well explained by topographic variables including ALT, exposure to incoming solar radiation, TWI, DS and distance from the coast. GAM is a useful tool to quantify the relationship between NDVI and topography. Based on this model, TWI was identified as the most explanatory power for spatial variation in NDVI in the woodland, cropland, and grassland landscapes. Statistical nonparametric correlation analysis shows that topography contributes to NDVI change over time and future persistence of change trends in the peninsula, cropland, grassland and woodland landscapes.

This study provides important insights into regional vegetation dynamics, and strengthens the importance of using NDVI data integrating topographic data to study vegetation heterogeneity across landscapes. However, the results can likely be further enhanced by using finer resolution spatial datasets. Furthering our understanding of direct and indirect controls such as soil attributes, climate change and human disturbance over vegetation may also improve the predictions about NDVI distribution and future variation.

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